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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):   Matthew Conover

Assignee: Symantec Corporation

Title: GRANULAR ACCESS CONTROL METHOD AND SYSTEM

Serial No.:   10/829,591   Filed: April 21, 2004

Examiner: Unknown   Group Art   2131
                                    Unit:

Docket No.:   SYMC1050

Monterey, CA
August 10, 2004

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER §1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98,
Applicant(s) wish to call the following documents (a copy of
each is enclosed) to the attention of the Examiner:

OTHER DOCUMENTS

1)	"*Kernel-Mode Driver Architecture: Windows DDK - SeAccessCheck*" June 6, 2003, 2 pages. [online]. Retrieved on November 21, 2003. Retrieved from the Internet:<URL:http://msdn.microsoft.com/library/en-us/kmarch/hh/kmarch/k110_42wi.asp?frame=true>. Author unknown.
2)	"*Kernel-Mode Driver Architecture: Windows DDK - ObDereferenceObject*" October 6, 2003, 2 pages. [online]. Retrieved on April 7, 2004. Retrieved from the Internet:<URL:http://msdn.microsoft.com/library/en-us/kmarch/hh/kmarch/k107_6vg2.asp?frame=true>. Author unknown.
3)	"*Kernel-Mode Driver Architecture: Windows DDK - Object Management*" June 6, 2003, 1 page. [online]. Retrieved on November 21, 2003. Retrieved from the Internet:<URL:http://msdn.microsoft.com/library/en-us/kmarch/hh/kmarch/objects_033b.asp?frame=true>. Author unknown.

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- 1 -   Serial No. 10/829,591

4)	"*Kernel-Mode Driver Architecture: Windows DDK - Object Handles"* June 6, 2003, 2 pages. [online]. Retrieved on November 21, 2003. Retrieved from the Internet:<URL:http://msdn.microsoft.com/library/en-us/kmarch/hh/kmarch/objects_5btz.asp?frame=true>. Author unknown.
5)	"*Kernel-Mode Driver Architecture: Windows DDK - ObReferenceObjectByHandle"* June 6, 2003, 2 pages. [online]. Retrieved on November 21, 2003. Retrieved from the Internet:<URL:http://msdn.microsoft.com/library/en-us/kmarch/hh/kmarch/k107_54qa.asp?frame=true>. Author unknown.
6)	"*Kernel-Mode Driver Architecture: Windows DDK - Life Cycle of an Object"* June 6, 2003, 2 pages. [online]. Retrieved on November 21, 2003. Retrieved from the Internet:<URL:http://msdn.microsoft.com/library/en-us/kmarch/hh/kmarch/objects_16av.asp?frame=true>. Author unknown.
7)	"*Kernel-Mode Driver Architecture: Windows DDK - Object Names"* June 6, 2003, 2 pages. [online]. Retrieved on November 21, 2003. Retrieved from the Internet:<URL:http://msdn.microsoft.com/library/en-us/kmarch/hh/kmarch/objects_9k13.asp?frame=true>. Author unknown.
8)	Russinovich, Mark, "*Inside NT's Object Manager"*, October 1997, 8 pages. [online]. Retrieved on April 5, 2004. Retrieved from the Internet:<URL:http://www.winntmag.com/Articles/Print.cfm?ArticleID=299>.

A PTO form 1449 listing these documents is enclosed.

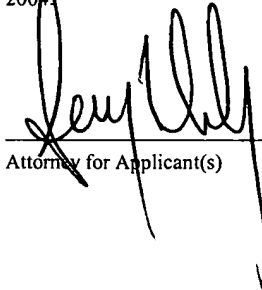Citation of the above documents shall not be construed as:

    1.   an admission that the documents are necessarily prior art with respect to the instant invention;

    2.   a representation that a search has been made, other than as described above; or

    3.   an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure

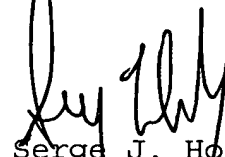Statement, and to credit any overpayment of fees to Deposit
Account No. 50-0553.

Respectfully submitted,

Serge J. Hodgson
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Form PTO-1449		Atty Docket No.	Serial No.
		SYMC1050	10/829,591
INFORMATION DISCLOSURE CITATION		Applicant(s)	
IN AN APPLICATION		Matthew Conover	
		Filing Date	Group
(Use several sheets if necessary)		April 21, 2004	2131

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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FOREIGN PATENT DOCUMENTS

							Translation	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	"*Kernel-Mode Driver Architecture: Windows DDK - SeAccessCheck*" June 6, 2003, 2 pages. [online]. Retrieved on November 21, 2003. Retrieved from the Internet:<URL:http://msdn.microsoft.com/library/en-us/kmarch/hh/kmarch/k110_42wi.asp?frame=true>. Author unknown.
	AS	"*Kernel-Mode Driver Architecture: Windows DDK - ObDereferenceObject*" October 6, 2003, 2 pages. [online]. Retrieved on April 7, 2004. Retrieved from the Internet:<URL:http://msdn.microsoft.com/library/en-us/kmarch/hh/kmarch/k107_6vg2.asp?frame=true>. Author unknown.
	AT	"*Kernel-Mode Driver Architecture: Windows DDK - Object Management*" June 6, 2003, 1 page. [online]. Retrieved on November 21, 2003. Retrieved from the Internet:<URL:http://msdn.microsoft.com/library/en-us/kmarch/hh/kmarch/objects_033b.asp?frame=true>. Author unknown.

Examiner	Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

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